



# Deputy of Research & Technology Secretariat of National Committee for Ethics in Biomedical Research (NREC)

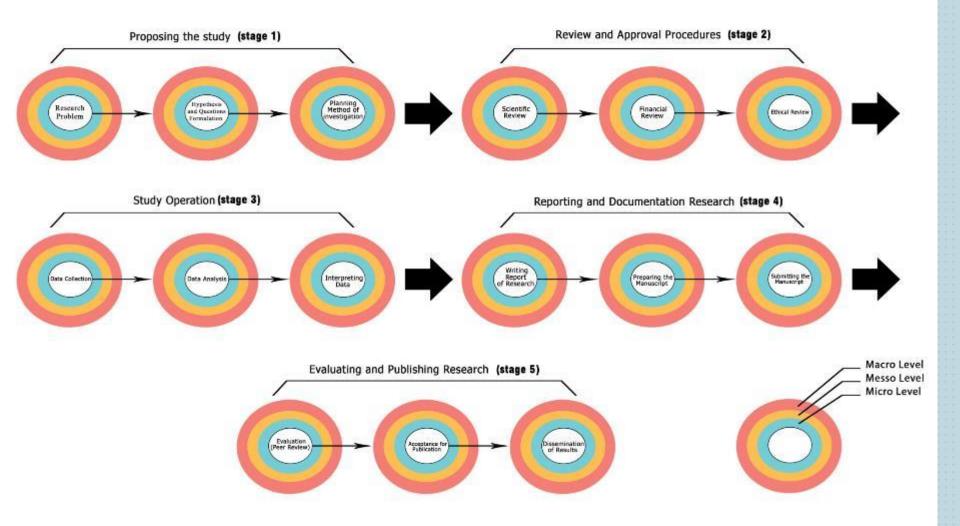
Secretary of NREC, MOHME Ehsan Shamsi Gooshki MD/Ph.D. Assistant Professor of Medical Ethics, TUMS



## The Main Stakeholders for Ensuring Research Integrity



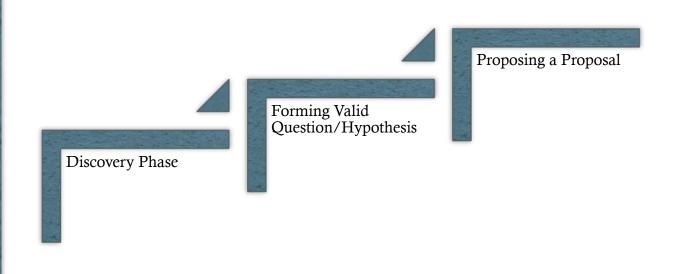
#### Research Process



### How Researchers Start?!

## Motivation behind Research:

- Solving Problems
- Graduation
- -Academic Promotion
- -Institutional Commitments



#### **Approval Process:**

PI SIRB ORB REC

National Ethics Code FDA/IRCT Feed Back

Principle Investigator (PI):

-The main Responsible -Research Team Leader Institution Review Board (IRB):

> -Scientific Evaluation -Methodology Assessment

Organizational Review Board (ORB):

-Resource Allocation

-Financial Justification

#### Research Ethics Committee (REC):

-Consent

-Privacy

-Risk

-Benefit

-Vulnerability

-Religious Evaluation

-Legal Check

- Social Values

#### Research Integrity:

Research Protocols:

To be compatible with research ethics standards

Research Operation:

To follow the approved proposal

Publication of Research Findings:

To be morally acceptable

# Research Integrity and Excellence

- Research Infrastructure ((Research Labs' Construction, Research Labs' Equipment, Research Labs' Protocols, Methods and Procedures, Animal Houses, Animal Farms, Animal Models, Research Biobanks, Clean Rooms, Human Resources,....)
- Research Design and Process ((Research Design, Research Scientific Evaluation, Research Ethical Evaluation, Research Management Bureaucracy, Research Management Software, Research Registration (IRCT, ...)
- Policy-Making Integrity (FDO, MoH Education Department,...)
- Medical Journals (Paper Quality, Visibility and Citation, Editorial Management, Publishing Regularity, Indexing)

## Iran Research Ethics System Reform

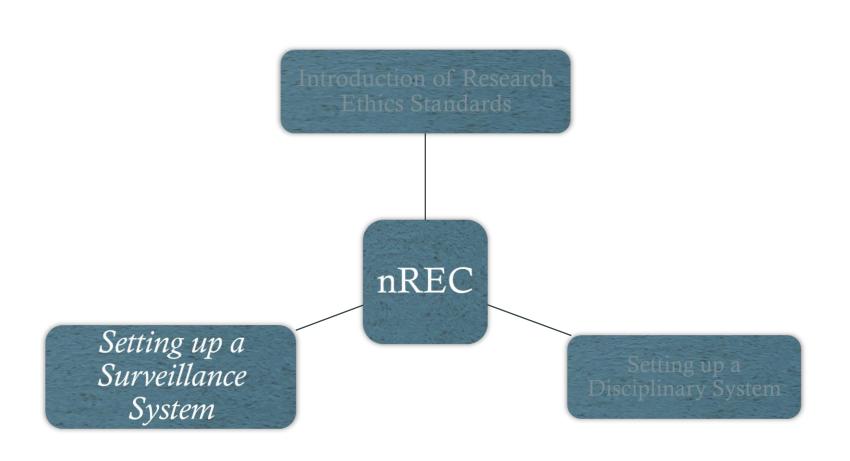
Introduction of Research Ethics Standards

Setting up a Surveillance System Setting up a Disciplinary System

### Introduction of Research Ethics Standards

- General National Guideline for Research on Human Participants
- Specific National Guidelines: (e.g. Animal Research, Stem Cell Research, Genetic Research, Research on Vulnerable Populations, Clinical Trials, Research on Embryo & Fetus, Research on Tissue and Organs, AIDS Research, )
- Procedural Guidelines: (e.g. BMT, Publication Ethics, Establishment of RECs Guideline, REC Application Form, Research Misconduct Procedure Guideline..)

# Iran Research Ethics System Reform



### National Guideline for Establishment, Grading & Practice of RECs in 3 Levels

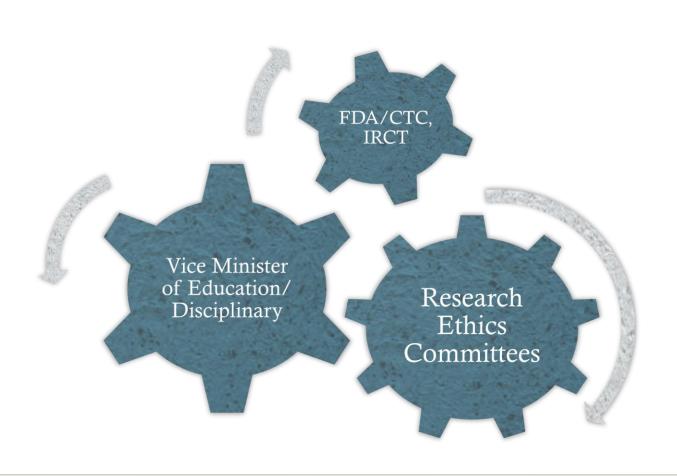
**National** Members:11 University/Regional Members:9 Institutional Members:9

## Reestablishment of NREC: by Minister's Official Nomination:

New Round: Since Sep 2014



# Ethics-Related Parties of MOHME



## Accredited RECs as Executive Bodies



#### 

Research Ethics Committee

Hereby, upon ministerial regulations regarding establishment, grading and description of duties for Research Ethics Committees,

Research Ethics Committee of Shahed University of Medical Sciences is accredited to act at the University level for 3 years. This
accreditation is valid within the framework of the accepted national and international ethical norms and principles for biomedical
research as well as the guidelines and protocols of the Islamic Republic of Iran, Ministry of Health and Medical Education.

Specific Code: IR.SHAHED.REC

#### Ehsan Shamsi Gooshki

Secretary of National Committee for Ethics in Biomedical Research Ministry of Health and Medical Education, Islamic Republic of Iran

#### Reza Malekzadeh

Ref No: V . . / 4/ 126

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Vice Minister for Research and Technology Ministry of Health and Medical Education, Islamic Republic of Iran

#### RECs Main Missions:

Pre-REC Activities

Evaluation in REC

Post-REC Surveillance

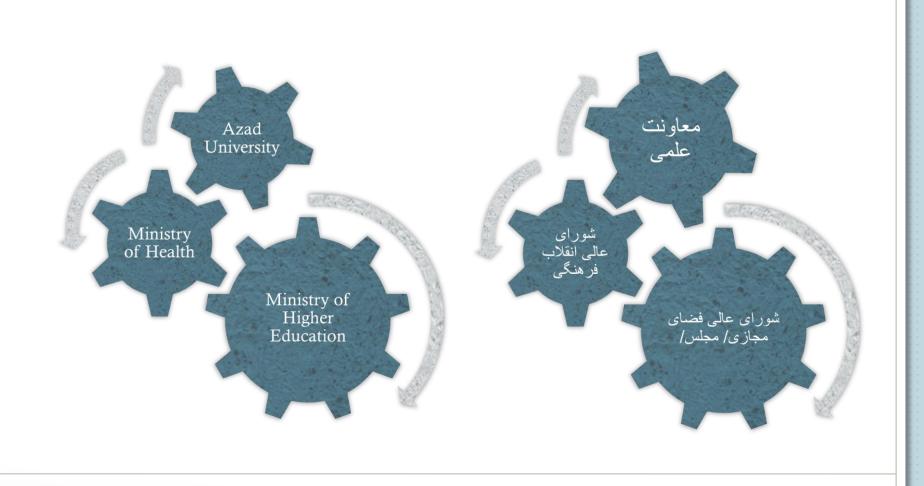
Ensuring Research Integrity

Managing Research Misconduct

## National Portal for Research Ethics Repository Program



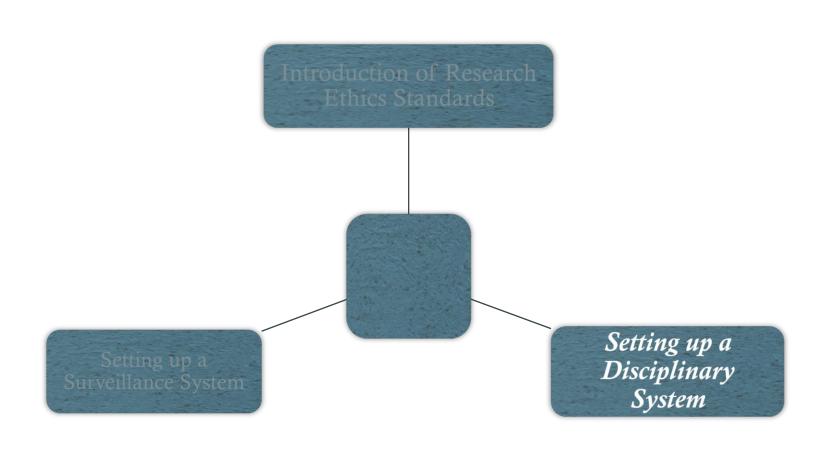
### Need for an Integrated System



# سامانه علم سنجی اعضای هیات علمی http://isid.research.ac.ir



## Iran Research Ethics System Reform

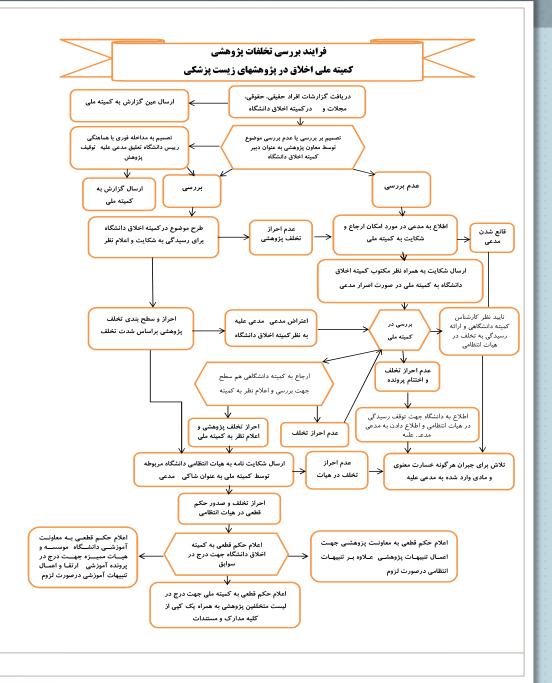


## Setting up a Disciplinary System

دریافت گزارش/ شکایت بررسی در کمیته اخلاق به عنوان نهاد کارشناسی: احراز یا عدم احراز تخلف

ارجاع به نهادهای انتظامی ذی صلاح: هیات بدوی/ کمیته انضباطی Implementation a disciplinary system and national data base for those who commit scientific misconduct in biomedical research

The Proposed
Fellow chart for investigation and disciplinary process
of Scientific Misconduct cases in MOHME and affiliated universities/institutions



Pre-REC Screening

Evaluation in REC

Post-REC Surveillance

# Pre-REC Screening by a 3-Member Subcommittee

Check REC Competency (Title/Abstract)

 Stem Cell, Intl, Cell Therapy and Cloning Researches only in University RECs

Check Ethical
Parts of
Proposal

- Ethical Consideration Checklist
- Informed Consent Form
- · Questionnaire/Research Tools

Screening Decision

- Sending Proposals to REC
- Sending List of Titles to REC

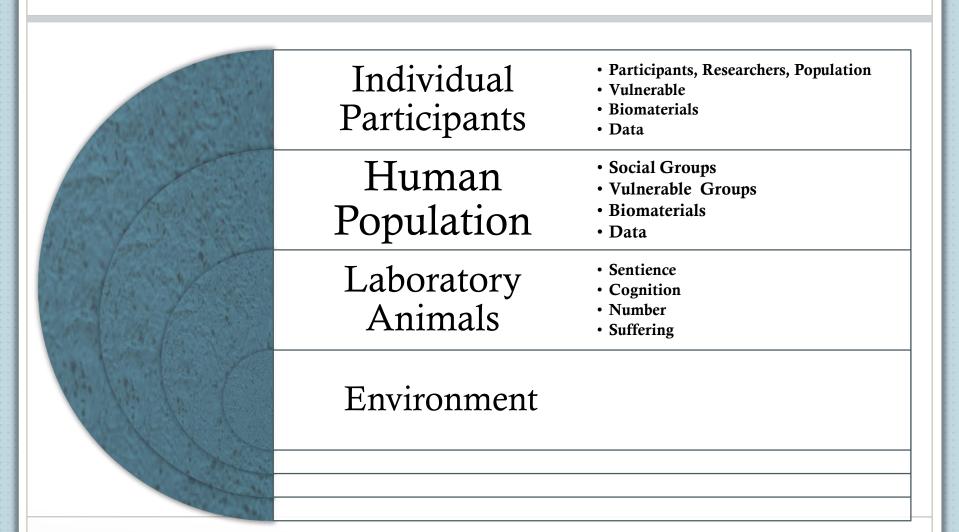
## Is Research Team Competent?

- Is the PI competent?
- How is the general competency of Research Team?
- The quality of Scientific Peer review?

## Is Methodology Clear?

- Does it follows standard trend?
- Is there any safer/cheaper method available?
- The place of research
- The time of research
- Is inclusion & Exclusion criteria clear?

## Who is Potentially at Risk?



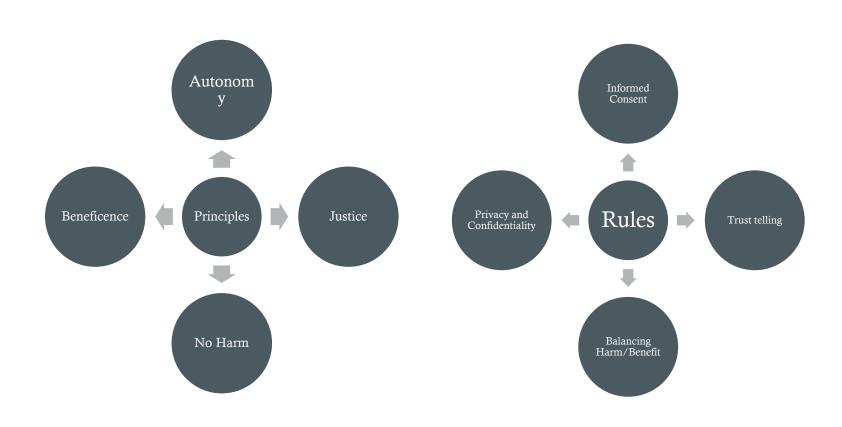


### Vulnerability:

Prioritizing

Stigmatization

### What is Potentially at Stake?!

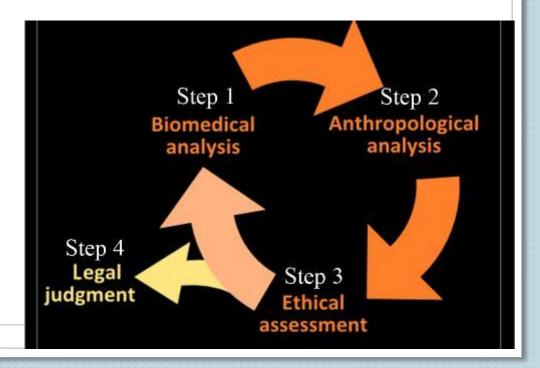


Determination of Entities with Various Levels of Moral Status

Considering General Guidelines (31-Clause) Considering
Specific
Guidelines
(According to the Case)

#### Does the Research Considers:

- 1- Religious Standards
- 2- Legal Standards
- 3- Local Sensitivities



# Publication Ethics Case1:

Nature News Report: November 2016



NATURE | NEWS





#### Publisher pulls 58 articles by Iranian scientists over authorship manipulation

Cull of papers follows similar discoveries in 2015.

#### **Ewen Callaway**

01 November 2016 | Clarified: 03 November 2016



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A tranche of 58 articles authored by 282 Iran-based researchers were retracted today by a leading

## Nature Report Content 1: General Information

- A tranche of <u>58 articles</u> authored by Iran-based researchers were retracted today by a leading scientific publisher, which said it had found signs that the peer review and publication processes had been compromised.
- آمار دقیق ۱۹۹ کل افراد/ ۹۹ با وابستگی دانشگاه علوم پزشکی/ ۵۴ هیات علمی/۲۰ کارمند/ ۷ نفر بدون وابستگی/ بقیه دانشجو
- BioMed Central (BMC) will retract <u>28</u> articles and investigate another <u>40</u>, whereas Springer will pull <u>30</u> papers and investigate another <u>9</u>. Both organizations are run by *Nature*'s publisher, Springer Nature.

### Nature Report Content: Type of Misconduct

• The actions follow an investigation, prompted by a whistleblower, that found "evidence of plagiarism, peer review and authorship manipulation, suggestive of attempts to subvert the peer review and publication system to inappropriately obtain or allocate authorship,".

• All the papers that Springer is retracting showed evidence of authorship manipulation and peer-review manipulation, and 70% showed evidence of plagiarism.

• For the BMC papers, all showed evidence of authorship manipulation, 57% showed evidence of peer-review manipulation and 93% showed evidence of plagiarism.

### Journals & Number of Papers:

3 Springer journals (30 papers)

Four papers in the Journal of Parasitic Diseases (IF = 0.28)

One paper in Comparative Clinical Pathology (IF = 0.358)

25 papers in Tumor Biology (IF = 2.926)

4 BMC journals (28 papers)

23 papers in Diagnostic Pathology, (IF = 1.895)

Two papers in Cancer Cell International, (IF = 2.884)

Two papers in Journal of Ovarian Research, (IF = 2.502)

One paper in the World Journal of Surgical Oncology. (IF = 1.286)

### The Story:

- Inviting friends/surgeons/ Gynecologists/Orthopedists/ Students who want to apply for international positions/... with excuses such as: Tissue/Editing the manuscript
- Peer Review Manipulation/Author Manipulation/Plagiarism
- Publisher were informed by a whistle-blower
- The Publisher detected the misconduct after checking Mac Address/IP
- Springer asked the authors to explain but received no response.

#### Lessons Learned:

- Chain Brokers with Fake/Suspicious affiliation
- Invitation to "Paper Party" without having authorship criteria!
- Requesting money for various excuses e.g. publication fee
- No Approved Research Project (1 exception)
- Data Fabrication
- Working with non-familiar researchers/outputs
- Friends as Whistle Blowers!
- Using academic email
- One Medical Student Quoted: "... before this case I was always a ghost author...."



## A shady market in scientific papers mars Iran's rise in science

Richard Stone





آینه چون نفش نو بنمود راست! خود شکن، آینه شکستن خطا است!